



PATENT  
Attorney Docket No.: 018419-008320US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

#10  
IDS  
J. McMillan  
9/29/02

In re application of:

Sien G. Kang ✓

Application No.: 09/893,340 ✓

Filed: June 26, 2001 ✓

For: SURFACE FINISHING OF SOI  
SUBSTRATES USING AN EPI  
PROCESS

Examiner: Erik J. Kielin

Art Unit: 2813

INFORMATION DISCLOSURE  
STATEMENT UNDER 37 CFR §1.97 and  
§1.98

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Assistant Commissioner for Patents  
Washington, D.C. 20231

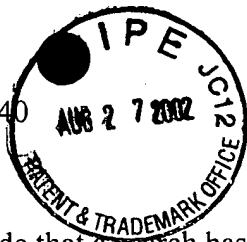
Sir:

The references cited on attached form PTO/SB/08A and PTO/SB/08B are being called to the attention of the Examiner.

This application is a continuation U.S. Patent Application No. 09/399,985, filed September 20, 1999, now U.S. Patent 6,287,941, issued September 11, 2001. In accordance with 37 CFR §1.98(d), copies of the cited references can be found in that application with exception of the references numbered 1-8, 10, 13, 15, 20, 24, 25, 28, 36, 39, 42, 44 and 45 which are enclosed herein. Copies of the other references will be provided at the Examiner's request.

It is respectfully requested that the cited references be expressly considered during the prosecution of this application, and the references be made of record therein and appear among the "references cited" on any patent to issue therefrom.

As provided for by 37 CFR 1.97(g) and (h), no inference should be made that the information and references cited are prior art merely because they are in this statement and no



representation is being made that a search has been conducted or that this statement encompasses all the possible relevant information.

This IDS is being filed after the mailing date of the first Office Action and more than three months after the filing date, but prior to the Notice of Allowance or Final Office Action.

Please deduct \$180.00, pursuant to 37 CFR §1.17(p), from the undersigned's Deposit Account No. 20-1430. Please deduct any additional fees from, or credit any overpayment to, the above-noted Deposit Account.

Respectfully submitted,

A handwritten signature in black ink, appearing to read "Richard T. Ogawa".

Richard T. Ogawa  
Reg. No. 37,692

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TOWNSEND and TOWNSEND and CREW LLP  
Two Embarcadero Center, 8<sup>th</sup> Floor  
San Francisco, California 94111-3834  
Tel: 650-326-2400  
Fax: 650-326-2422  
RTO:rnk